CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with

the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

March 18, 2005

(Date)

and

Daniel Hart, Reg. No. 40,637

Alexandria, VA 22313-1450, on

Docket No.: GNE.3230R1C39

## INFORMATION DISCLOSURE STATEMENT

blicant

Goddard, et al.

App. No

10,063,557

Filed

May 2, 2002

For

SECRETED AND

TRANSMEMBRANE POLYPEPTIDES

AND NUCLEIC ACIDS ENCODING

THE SAME

Examiner

David J. Blanchard

Art Unit

1642

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing twenty-two (22) references to be considered by the Examiner. Also enclosed are eighteen (18) foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed with an RCE no fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Muh 18, 2005

Daniel Hart

Registration No. 40,637

Attorney of Record

Customer No. 30,313

(619) 235-8550

|   |                             | Application No.     | 10/063,557         |
|---|-----------------------------|---------------------|--------------------|
| NFORMATION DISCLOSURE   |                             | Filing Date         | May 2, 2002        |
| NFORMATION DISCLOSURE STATEMENT BY APPLICANT (Mittale sheets used when necessary) | First Named Inventor        | Goddard, et al.     |                    |
| ATEMENT BY APPLICANT  |                             | Art Unit            | 1642               |
| (Minister)  | sheets used when necessary) | Examiner            | David J. Blanchard |
| 6   | SHEET 1 OF 2                | Attorney Docket No. | GNE.3230R1C39      |

|                       | ő              | T OILE I OILE   |                             | Attorney Docket No. | GNE.3230R    | 1C39   |  |
|-----------------------|----------------|---|-----------------------------|---------------------|--------------|--|--|
| MAR 2 Z               | MAR 2 2 2005 💆 |   |                             |                     |              |  |  |
| 2.                    | 4              | 7   | U.S. PATE                   | NT DOCUMENTS        |              |  |  |
| Exterior All Initials | No.            | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Da<br>MM-DD-YYY | Name of Patentee    | or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       | 1              | 5,407,799   | 04-18-1995                  | Studier             |              |  |  |
|                       | 2              | 6,498,235 B2  | 12-24-2002                  | Sheppard, et al.    |              |  |  |
| -                     | 3              | 6,645,499 B1  | 11-11-2003                  | Lal, et al.         |              |  |  |
|                       | 4              | 6,730,502 B2  | 05-04-2004                  | Van Hijum, et al.   |              |  |  |
|                       |                |   |                             |                     |              |  |  |
|                       |                |   |                             |                     |              |  |  |
|                       |                |   |                             | ·                   |              |  |  |
|                       |                |   |                             |                     |              |  |  |
|                       |                |   |                             |                     |              |  |  |

|                      | FOREIGN PATENT DOCUMENTS |  |                                   |                                  |  |                |  |
|----------------------|--------------------------|--|-----------------------------------|----------------------------------|--|----------------|--|
| Examiner<br>Initials | Cite<br>No.              | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant | Pages, Columns, Lines<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>1</sup> |  |
|                      |                          |  | :                                 |                                  |  |                |  |
|                      |                          |  |                                   |                                  |  |                |  |
|                      |                          |  |                                   |                                  |  |                |  |
|                      |                          | ,  |                                   |                                  |  |                |  |
|                      |                          |  |                                   |                                  | ·  |                |  |

|                             |   | NON PATENT LITERATURE DOCUMENTS  |                |
|-----------------------------|---|--|----------------|
| Examiner Cit<br>Initials No |   | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.                                      | T <sup>1</sup> |
|                             | 5 | ALBERTS, et al. 2002. <i>Molecular Biology of the Cell 4th Edition</i> , pp. 302, 363-364, 379, 435. New York: Garland Publishing.   |                |
|                             | 6 | The 1991 Boehringer Mannheim Biochemicals Catalog, page 557, 1991.   |                |
|                             | 7 | BURGESS, et al. 1990. Possible dissociation of the heparin-binding and mitogenic activities of heparin-binding (acidic fibroblast) growth factor-1 from its receptor-binding activities by site-directed mutagenesis of a single lysine residue. <i>The Journal of Cell Biology</i> , 111:2129-2138. |                |
|                             | 8 | GRIMALDI, et al. 1989. The t(5;14) chromosomal translocation in a case of acute lymphocytic leukemia joins the interleukin-3 gene to the immunoglobulin heavy chain gene. <i>Blood</i> , 73(8):2081-2085.  |                |
|                             | 9 | HANNA, et al. Aug. 1999. HER-2/neu breast cancer predictive testing. Pathology Associates Medical Laboratories.  |                |

| Francisco Cincotano |                 |
|---------------------|-----------------|
| Examiner Signature  | Date Considered |
|                     |                 |

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

|   | Application No.      | 10/063,557         |
|---|----------------------|--------------------|
| INFORMATION DISCLOSURE  | Filing Date          | May 2, 2002        |
| STATEMENT BY APPLICANT  (Martiple sheets used when necessary) | First Named Inventor | Goddard, et al.    |
|   | Art Unit             | 1642               |
| (Motiple sheets used when necessary)                          | Examiner             | David J. Blanchard |
| SHEET 2 OF 2  | Attorney Docket No.  | GNF.3230R1C39      |

| MAR 227  |     |  |                |
|----------|-----|--|----------------|
| 8.       | 3   | NON PATENT LITERATURE DOCUMENTS  |                |
| Initials | No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.      | T <sup>1</sup> |
|          | 10  | HAYNES, et al. 1998. Proteome analysis: Biological assay or data archive? <i>Electrophoresis</i> , 19:1862-1871.   |                |
|          | 11  | HU, et al. 2003. Analysis of genomic and proteomic data using advanced literature mining. <i>Journal of Proteome Research</i> , 2:405-412.   |                |
|          | 12  | HYMAN, et al. 2002. Impact of DNA amplification on gene expression patterns in breast cancer. <i>Cancer Research</i> , 62:6240-6245.   |                |
|          | 13  | LAZAR, et al. 1988. Transforming growth factor α: Mutation of aspartic acid 47 and leucine 48 results in different biological activities. <i>Molecular and Cellular Biology</i> , 8(3):1247-1252.  |                |
|          | 14  | LI, et al. 1980. β-Endorphin omission analogs: Dissociation of immunoreactivity from other biological activities. <i>Proc. Natl. Acad. Sci. USA</i> , 77(6):3211-3214.   |                |
|          | 15  | LIN, et al. 1975. Structure-function relationships in glucagon: Properties of highly purified Des-His <sup>1</sup> -, Monoiodo-,and [Des-Asn <sup>28</sup> , Thr <sup>29</sup> ](homoserine lactone <sup>27</sup> )-glucagon. <i>Biochemistry</i> , 14(8):1559-1563. |                |
|          | 16  | MEEKER, et al. 1990. Activation of the interleukin-3 gene by chromosome translocation in acute lymphocytic leukemia with eosinophilia. <i>Blood</i> , 76(2):285-289.   |                |
|          | 17  | MERIC, et al. 2002. Translation initiation in cancer: A novel target for therapy. <i>Molecular Cancer Therapeutics</i> , 1:971-979.  |                |
|          | 18  | ØRNTOFT, et al. 2002. Genome-wide study of gene copy numbers, transcripts, and protein levels in pairs of non-invasive and invasive human transitional cell carcinomas. <i>Molecular &amp; Cellular Proteomics</i> , 1:37-45.  |                |
|          | 19  | POLLACK, et al. 2002. Microarray analysis reveals a major direct role of DNA copy number alteration in the transcriptional program of human breast tumors. <i>PNAS</i> , 99(20):12963-12968.   |                |
|          | 20  | SCHWARTZ, et al. 1987. A superactive insulin: [B10-aspartic acid]insulin(human). <i>Proc. Natl. Acad. Sci. USA</i> , 84:6408-6411.   |                |
|          | 21  | SINGLETON, et al. 1992. Clinical and pathologic significance of the c-erbB-2 (HER-2/neu) oncogene. Pathol. Annu., 1(27):165-190.   |                |
|          | 22  | ZHIGANG, et al. 2004. Prostate stem cell antigen (PSCA) expression in human prostate cancer tissues and its potential role in prostate carcinogenesis and progression of prostate cancer. <i>World Journal of Surgical Oncology</i> , 2:13.                          |                |
|          |     |  |                |
|          |     |  |                |
|          |     |  |                |
|          |     |  |                |
|          |     |  |                |
| L        |     |  |                |

1351252\_1:dmb 031805

Examiner Signature Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.